

For Six Month Period Ending

001 17 1989

(Month/Day/Year)

Name of Registrant

KOREA TRADE PROMOTION CENTER, New York

Registration No.

1619

Business Address of Registrant

460 Park Avenue, Suite 402, New York, NY 10022

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address

Yes ☐

No ☐

(2) Citizenship

Yes ☐

No ☐

(3) Occupation

Yes ☐

No ☐

(b) If an organization:

(1) Name

Yes ☐

No ☒

(2) Ownership or control

Yes ☐

No ☒

(3) Branch offices

Yes ☐

No ☒

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

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DEPT. OF JUSTICE
CRIMINAL DIVISION
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4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Position or connection	Date connection began
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

The Korea Trade Promotion Center, New York solely represents the Korea Trade Promotion Corporation, Seoul, Republic of Korea, a not for profit agency of the government of the Republic of Korea

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

All activities were performed solely on behalf of the Korea Trade Promotion Corporation, Seoul, Republic of Korea and include the following:

1. Review of U.S. newspapers and publications
2. Market research
3. Monitoring changes in U.S. trade policy and customs law
4. Providing american companies with appropriate business contacts in the Republic of Korea
5. Participation in seminars sponsored by federal, state and local government agencies as well as educational institutions and business organizations for the purpose of increasing U.S. exports to Korea
6. Organization of the U.S. Products Show to be held in Seoul in November 1989
7. Participation in industry trade shows, such as the New York Pret Show and the National Merchandise Show

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).
A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

All political activity was performed on behalf of the Korea Trade Promotion Corporation, Seoul, Republic of Korea. Principal political activities were related to efforts to increase the volume of U.S. exports to Korea. These activities included disseminating written materials, delivering speeches and meeting government officials and representatives of the U.S. business community in conjunction with seminars and other programs regarding trading with Korea, providing contact points for export to or import from Korea.

Attached please find the list of events at which Sung Ho Cho delivered speeches which qualify as political activity as stated in the definition below

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

Date	From Whom	Purpose	Amount
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A detailed listing of receipts is attached

\$ 704,595.41
Total

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☒ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date

To Whom

Purpose

Amount

LISTING ATTACHED\$675,767.16.

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

The sole foreign principal is the Korea Trade Promotion Corporation, Seoul, Republic of Korea

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☒ Letters or telegrams
☐ Advertising campaigns ☒ Press releases ☒ Pamphlets or other publications ☒ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☒ Public Officials ☒ Newspapers ☒ Libraries
☒ Legislators ☒ Editors ☒ Educational institutions
☒ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☒ Other (specify) U.S. business community and business related organizations

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI-EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐
 Exhibit B⁷ Yes ☐ No ☐ NOT APPLICABLE

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

NOT APPLICABLE

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-47) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

If you have previously filed an Exhibit C², state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

During the current reporting period, it has not been necessary to file any additional short form registrations.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Sung Ho Cho
Sung Ho Cho, Executive Director

Subscribed and sworn to before me at S. E., N.Y.

this 14th day of November, 19 89

RAYMOND T. J. KIM
Notary Public, State of New York
No. 43-4844876
Qualified in Richmond County
Commission Expires January 31, 1990

Rayl T. J. Kim
(Signature of notary or other officer)

²The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

SPEECHES BY SUNG HO CHO

<u>Date</u>	<u>Place & Sponsor</u>	<u>Subject</u>
May 8	Hershey, PA U.S. Dept of Commerce	Exporting to Korea/ U.S. Products Show
May 10	Providence, RI U.S. Dept of Commerce	Exporting to Korea/ U.S. Products Show
May 12	Boston, MA U.S. Dept of Commerce	Exporting to Korea/ U.S. Products Show
May 17	San Francisco, CA U.S. Dept of Commerce	Business with Korea
May 24	Cromwell, Ct Central Connecticut State Univ.	Exporting to Korea/ U.S. Products Show
June 2	Miami FL Dept of Commerce	Exporting to Korea/ U.S. Products Show
June 4	Philadelphia, PA Philadelphia Industrial Development Corp.	Exporting to Korea
June 15	Richmond, VA World Trade Club	Exporting to Korea
Sept. 7	Columbia, SC South Carolina Development Board	Business with Korea
Sept. 13	West Orange, NJ World Trade Club of NJ	Business with Korea
Sept. 18	Pittsburgh, PA Dept of Commerce	Exporting to Korea

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WASHINGTON, D.C.

RECEIPTS - MONIES FOR THE REPORTING PERIOD 4/17/89 - 10/17/89

<u>RECEIVED FROM</u>	<u>PURPOSE</u>	<u>AMOUNT (U.S.\$)</u>
Korea Trade Promotion Corporation	Carried over from Previous Period	22,367.00
	Salaries & Allowances	183,574.68
	Office Rent & Official Residence Rent (support)	202,523.00
	Operation Expenses (includes stationery, postage, tele- phone, car rentals, office equipment rental, gas, etc...)	80,700.00
	Trade Promotion Expenses (expenses to hold & attend meetings, seminars)	19,984.00
	Collecting Books	14,358.00
	Telex Facilities Charge (Rent, access, Auto Safe Charges, etc...)	159,902.40
	Sample Collection	6,846.99
	Tuition - Columbia University	6,367.00
	Moving Expenses	6,547.34
	Miscellaneous	1,425.00
	TOTAL	704,595.41

15(a)

DISBURSEMENTS - MONIES FOR THE REPORTING PERIOD 4/17/89 - 10/17/89

<u>DISBURSED TO</u>	<u>PURPOSE</u>	<u>AMOUNT (U.S. \$)</u>
Staff & Employees (1)	Salaries & Allowances	205,919.00
William A. White & Tishman	Office Rental	119,810.66
Staff of New York City Korea Trade Promotion Center	Financial Aid for Official Residence	52,317.40
Miscellaneous Expenses (2)	Office operating expenses	81,136.98
Equipment Vendors	Office Equipment Repairs	16,512.67
Various Stores, Restaurants	Business Meetings & Seminars Expenses	10,184.26
WUI, MCI	Telex Facilities	150,173.92
Various Bookstores	Collecting Books	11,116.51
Various Department Stores	Collecting Samples	6,846.87
Sung Ho Cho & other staff	Expenses for seminar participation	7,516.04
Columbia University	Tuition	6,367.00
	Carried over to next period	7,865.85
	TOTAL	675,767.16

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(1) Sung Ho Cho, Ki Hwa Hong, Sung Woong Park, Jae Han Kim, Kyoo Nam Lee, Heui Ro Yoon, Sung Soo Kim

(2) Stationery (Fuller Stationery, Flynn's); Postage (Pitney Bowes, PBCC, RMRS); Telephone (New York Telephone, AT & T, MCI); Car Rental (Avis); Gas (Exxon, Texaco); Coffee Distributing Division, Blue Cross Blue Shield, etc...

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